**Core Valley Lines (CVL) Transformation: Complex track works with associated level boarding works**

**C001270.00**

Request to Participate

Volume 2 – Questionnaire

Date 19/09/2025

Audience Tenderers

Confidentiality Confidential

Version 1.1

Date 19 09 2025

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1. Requirements and Instructions
   * + 1. This Tender Questionnaire (TQ) sets out the information which is required by TfW (the Contracting Authority) in order to assess the suitability of the tenderer, including in terms of technical and professional ability and commercial viability.
       2. This TQ forms part of the ITT and should be read in conjunction with Volume 1 – Instructions and Requirements. The defined terms used in Volume 1 – Instructions and Requirements shall apply in this TQ.
       3. Tenderers are required to provide responses to all questions set out in this TQ following the instructions set out in Volume 1 – Instructions and Requirements.
       4. Where appropriate, a single page of A3 can be substituted for an A4 page for diagrams or graphics examples i.e. non text elements of the response. Where an A3 page has been substituted for an A4 page and has material text elements such that the page limit would otherwise have been exceeded, the Contracting Authority shall be entitled to disregard those text elements. If the Contracting Authority elects to disregard those text elements then they will not be evaluated.
       5. Tenderers are not permitted to use their own response format or change the format of the template supplied for their response. However, tenderers may insert their logo on the front page and their company name in the page header as set out in the template.
       6. Full details on the tender process, the terms of the procurement process, instructions on how to complete this TQ and the evaluation methodology are contained in Volume 1 – Instructions and Requirements.

**In particular, tenderers are reminded that failure to:**

**respond to all of the questions; or**

**respond in the required format; or**

**provide the necessary supporting information,**

**may result in the tenderer's response being considered by TfW to be non-compliant.**

* + - 1. If the tenderer’s response is considered to be non-compliant, the tenderer may, in TfW’s absolute discretion, be excluded from taking any further part in the procurement process.
      2. The closing date for submission of TQ responses is **12:00 midday 15 10 2025**

1. Procurement Specific Questionnaire (PSQ)

| **No** | **Question** |
| --- | --- |
|  | **Preliminary questions** |
| PQ1 | What is your name? (supplier name) |
|  | **[Insert name]** |
| PQ2 | *You must be registered on the central digital platform (CDP).*  What is your central digital platform unique identifier? |
|  | **[Insert unique identifier]** |
| PQ3 | Please confirm if you are bidding as a single supplier (with or without sub-contractors) or as part of a group or consortium.  If you are bidding as part of a group or consortium (including where you intend to establish a legal entity to deliver the contract), please provide:   1. the name of the group/consortium 2. the proposed structure of the group/consortium, including the legal structure where applicable 3. the name of the lead member in the group/consortium 4. your role in the group/consortium (e.g. lead member, consortium member, sub-contractor) |
|  | **[Insert information]** |
| PQ4 | **[Where applicable]** Please confirm which lot(s) you wish to bid for? **(NOT USED)** |
|  | **[Insert details]** |
| PQ5 | Are you on the debarment list? |
|  | **[Insert Yes or No]**  **[If yes, insert details]** |

Please insert the contact details of the person responsible for communication in relation to the tender submission:

|  |  |
| --- | --- |
| **Information** | **Response** |
| Key Contact Name |  |
| Key Contact Address |  |
| Company Name |  |
| Company Address |  |
| Telephone/Mobile Number |  |
| Email Address |  |
| Company Registration Number |  |

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **No** | **Part 1 – confirmation of core supplier information** | | | |
| 1 | You must submit up-to-date core supplier information on the CDP and share this with information with us via the CDP (either a share code or PDF download).  This includes:   1. basic information 2. economic and financial standing information 3. connected person information (these are persons with the right to exercise, or who actually exercise, significant influence or control over the supplier, or over whom the supplier has the right to exercise, or actually exercises, significant influence or control over, for example: directors, majority shareholders and parent and subsidiary companies) 4. exclusion grounds information   Please confirm you have shared this information with us. | | | |
|  | **[Insert reference / file name]** | | | |
| **No** | **Part 2 – additional exclusions information** | | | |
|  | **Part 2A – associated persons** | | | |
| 2A.1 | Are you relying on any associated persons to satisfy the conditions of participation? (these are other suppliers who might be sub-contractors or consortium members but not a guarantor).  [The conditions of participation are outlined in Part 3]  If so, please complete **Q8, Q9 & Q10** (otherwise **Q8, Q9 & Q10** are not applicable). | | | |
|  | **[Insert Yes or No]** | | | |
| 2A.2 | For each supplier/associated person, please confirm which condition(s) of participation you are relying on them to satisfy. | | | |
|  | **[Insert name of supplier and brief description]**  **[Insert name of supplier and brief description]** | | | |
| 2A.3 | For each associated person, you must confirm they are registered on the CDP and have shared with us their information (either a share code or PDF download):   1. basic information 2. economic and financial standing information  (if they are being relied upon to meet conditions of participation regarding financial capacity) 3. connected person information 4. exclusion grounds information | | | |
|  | **[Insert name of supplier and reference / file name]** | | | |
| 2A.4 | Are any of your associated persons on the debarment list? | | | |
|  | **[Insert Yes or No]**  **[If yes, insert details]** | | | |
|  | **Part 2B – list of all intended sub-contractors** | | | |
| 2B.1 | Please provide:   1. a list of all suppliers who you intend to sub-contract the performance of all or part of the contract to (either directly or in your wider supply chain) 2. their unique identifier (if they are registered on the CDP), or otherwise, a Companies House number charity number, VAT registration number, or equivalent 3. a brief description of their intended role in the performance of the contract   If you are not intending to sub-contract the performance of all or part of the contract, then this **question and Q12** are not applicable.  If a sub-contractor is unknown at the start of the procurement (or brought in during it), this should be made clear by the supplier and relevant details of the sub-contractor should be provided once their identity and role is confirmed. This information should be shared with the contracting authority as soon as possible and at least by final tenders. | | | |
|  | **[Insert name of supplier – unique identifier – brief description]**  **[Insert name of supplier – unique identifier – brief description]** | | | |
| 2B.2 | Please confirm if any intended sub-contractor is on the debarment list.  The debarment list can be found here [insert link] | | | |
|  | **[Insert Yes or No]**  **[If yes, insert sub-contractor(s) name and provide details]** | | | |
| **No** | **Part 3 – questions relating to conditions of participation** | | | |
|  | **Part 3A – standard questions** | | | |
|  | **Financial capacity** | | | |
| 3A.1 | **Financial Capacity** | | | |
|  | *TfW to undertake Credit Safe check, no response from tenderer required.* | | | |
| 3A.2 | Are you relying on another supplier to act as a guarantor?  If so, please provide their name and evidence of their economic and financial standing. | | | |
|  | **[Insert Yes or No]**  **[If yes, insert reference / file name]** | | | |
| 3A.3 | Please confirm whether you already have, or can commit to obtain, prior to the award of the contract, the levels of insurance cover indicated below:   1. - Employer’s (Compulsory) Liability Insurance = £10m 2. - Public Liability Insurance = £10m 3. - Professional Indemnity Insurance = £10m 4. - Contractors All Risks Insurance = £10m 5. - Product Liability Insurance = TBC   Please attach copies of the certificates listed above at 15 A-D (inclusive) in the attachments area on the sell2wales portal if already in place. | | | |
|  | **[Insert Yes or No]**  **[Insert details of your insurances already in place]**  **[Insert details of your insurances which would be obtained following contract award (including information on how you will obtain this insurance – e.g. a quote)]** | | | |
|  | **Legal capacity** | | | |
| 3A.4 | Please confirm that you have in place, or that you will have in place by the award of the contract, the human and technical resources to perform the contract to ensure compliance with the UK General Data Protection Regulation and to ensure the protection of the rights of data subjects.  Please provide details of the technical facilities and measures (including systems and processes) you have in place, or will have in place by contract award, to ensure compliance with UK data protection law and to ensure the protection of the rights of data subjects. Your response should include, but should not be limited to facilities and measures:   * to ensure ongoing confidentiality, integrity, availability and resilience of processing systems and services * to comply with the rights of data subjects in respect of receiving privacy information, and access, rectification, deletion and portability of personal data * to ensure that any consent based processing meets standards of active, informed consent, and that such consents are recorded and auditable * to ensure legal safeguards are in place to legitimise transfers of personal data outside the UK (if such transfers will take place) * to maintain records of personal data processing activities * to regularly test, assess and evaluate the effectiveness of the above measures | | | |
|  | **[Insert Yes or No]**  **[Insert information]** | | | |
|  | Cyber Essentials Certification Scheme  Please confirm that you currently meet, or will meet if you are successful, the requirements of the Cyber Essentials Certification Scheme or equivalent.  <http://www.cyberstreetwise.com/cyberessentials> | | | |
|  | **[Insert Yes or No]**  **[N.B. The buyer may require you to meet the requirements of the Cyber Essentials Certification Scheme, or equivalent. If you are successful, you must be in a position to provide evidence, if required, prior to contract award, and without delay].** | | | |
|  | **Technical ability** | | | |
| 3A.5 | **Relevant experience and contract examples**  Please provide details of up to three contracts to meet conditions of participation relating to technical ability set out in the relevant notice or procurement documents, in any combination from either the public or private sectors (which may include samples of grant-funded work).  Where this procurement is for goods or services, the examples must be from the past three years.  The named contact provided should be able to provide written evidence to confirm the accuracy of the information provided.  For consortium bids, or where you have indicated that you are relying on an associated person to meet the technical ability, you should provide relevant examples of where the associated person has delivered similar requirements. If this is not possible (e.g. the consortium is newly formed or a special purpose vehicle is to be created for this contract) then three separate examples should be provided between the principal member(s) of the proposed consortium or members of the special purpose vehicle or sub-contractors (three examples are not required from each member).  If you cannot provide at least one example of previous contracts, please provide an explanation for this and how you meet the conditions of participation relating to technical ability. | | | |
|  | **[Insert information below]** | | | |
|  |  | **Contract 1** | **Contract 2** | **Contract 3** |
|  | **Name of customer**  **organisation who**  **signed the contract** |  |  |  |
|  | **Name of supplier**  **who signed the**  **contract** |  |  |  |
|  | **Point of contact in**  **the customer’s**  **organisation** |  |  |  |
|  | **Position in the**  **customer’s**  **organisation** |  |  |  |
|  | **Email address** |  |  |  |
|  | **Description of**  **contract** |  |  |  |
|  | **Contract start date** |  |  |  |
|  | **Contract completion date** |  |  |  |
|  | **Estimated contract**  **value** |  |  |  |
|  | **[N.B. Where this procurement is for goods or services, the examples must be from the past three years.**  **The named contact provided should be able to provide written evidence to confirm the accuracy of the information provided.**  **For consortium bids, or where you have indicated that you are relying on an associated person to meet the technical ability, you should provide relevant examples of where the associated person has delivered similar requirements. If this is not possible (e.g. the consortium is newly formed or a special purpose vehicle is to be created for this contract) then three separate examples should be provided between the principal member(s) of the proposed consortium or members of the special purpose vehicle or sub-contractors (three examples are not required from each member).**  **If you cannot provide at least one example of previous contracts that are relevant to the requirement, in no more than 500 words please provide an explanation for this and how you meet the conditions of participation relating to technical ability – e.g. your organisation is a new start-up or you have provided services in the past but not under a contract.**  **If you cannot provide at least one example of previous contracts that are relevant to the requirement, in no more than 500 words please provide an explanation for this and how you meet the conditions of participation relating to technical ability – e.g. your organisation is a new start-up or you have provided services in the past but not under a contract.]** | | | |
| 3A.6 | **Experience of sub-contractor management**  Where you intend to sub-contract a proportion of the contract, please demonstrate how you have previously maintained healthy supply chains with your sub-contractor(s) (which may be the intended sub-contractor(s) for this procurement or any others used previously).  The description should include the procedures you use to ensure performance of the contract. | | | |
|  | **[Insert information]** | | | |
| 3A.7 | **Organisational standards**  Where conditions of participation have specified organisational qualifications or standards, please provide details of how these are met, or other equivalent standards that equal or exceed what has been requested. | | | |
|  | **[Insert information]** | | | |
| 3A.8 | 3A.8.1 | | | |
| **Health and safety**  Please provide details of the tenderer’s existing arrangements in place for planning, implementing and managing health and safety with reference to the tenderer’s health and safety policy(ies), including protecting the public and workforce. Please include the following information as a minimum:  • Policy statement - signed and dated  • The organisation and responsibilities - how health and safety requirements are implemented and managed  • The arrangements – standards and procedures adopted in practice; and  • The process for regular review of the tenderer’s health and safety policy(ies).  If you wish to provide evidence of the procedures you use to monitor subcontractors’ or consortium members’ Health and Safety arrangements, you can do so here. | | | |
| **Yes/No** | | | |
| Please confirm that your Health and Safety Policy has been reviewed within the past two years. | | | |
| **Yes/No response**  **[N.B. Response required if you selected "yes" to question 3A.8.1]** | | | |
| If you wish to attach a copy of your Health and Safety Policy, you can do so here. | | | |
| **Attach File**  **[N.B. Response required if you selected "yes" to question 3A.8.1 and wish to upload a copy of your Health and Safety Policy.]** | | | |
| Do you have a nominated competent person responsible for Health & Safety advice? | | | |
| **Yes/No response**  **[N.B. If you are successful, you must be in a position to provide evidence, if required, prior to contract award, and without delay e.g. CVs and copies of qualification certificates relevant to the role of H&S advisor. (If you are bidding on behalf of a consortium you will be required to provide requested information from all consortium members].** | | | |
| If your response to above question is yes, please provide their name and contact details.  [N.B. Response required if you selected "yes" to question above]. | | | |
| 3A.8.2 | | | |
| **Health and Safety Enforcement Orders**  Has your organisation or any connected person been in receipt of enforcement/remedial orders in relation to the Health and Safety Executive (or equivalent body) in the last 3 years? | | | |
| **Yes/No Response** | | | |
| If your response to the above question is yes, please provide details of the enforcement/remedial orders served and give details of any remedial action or changes to procedures you have made as a result.  **[N.B. Response required if you selected "yes" to question above].** | | | |
| **[Insert information]** | | | |
| 3A.8.3 | | | |
| **Control of Substances Hazardous to Health (COSHH)**  Please confirm that you have arrangements in place to manage chemicals used under the Control of Substances Hazardous to Health (COSHH) Regulations? | | | |
| **Yes/No Response**  **[N.B. If you are successful, you must be in a position to provide evidence (if requested), prior to contract award, and without delay.]** | | | |
| 3A.8.4 | | | |
| **Health & Safety Training**  Do your staff receive induction and / or safety training before undertaking any work? | | | |
| **Yes/No Response**  **[N.B. If you are successful, you must be in a position to provide evidence, if required, prior to contract award, and without delay].** | | | |
| Where you intend to sub-contract a proportion of the contract, please confirm if their staff will receive induction and/or safety training before undertaking any work? | | | |
| **Yes / No / N/A**  **[N.B. If you are successful, you must be in a position to provide evidence, if required, prior to contract award, and without delay].** | | | |
| 3A.8.5 | | | |
| **Safety Schemes in Procurement (SSIP)**  Are you, or is your organisation (or consortium member, if applicable), registered with an industrial or occupational safety group, for example a member of the Safety Schemes in Procurement (www.SSIP.org.uk) or equivalent? | | | |
| If you responded "yes" to the question above you must (if requested) provide your membership number, your membership level, and other details of what your registration covers.  If you are bidding as, or on behalf of a consortium you will need to provide, if requested, these details for your consortium members (if registered). | | | |
| **RISQS**  **[Insert information]** | | | |
| **Network Rail Safety Standards**  **[Insert information]** | | | |
| 3A.9 | **Environmental Management System**  Do you operate in accordance with an Environmental Management System (EMS) that is certified by a UKAS-accredited (or national equivalent) organisation? | | | |
| **Yes/No Response**  **[N.B. If you are successful, you must be in a position to provide evidence (if requested), prior to contract award, and without delay.**  **If you are bidding as a consortium, you will be required to explain which of the members has the certification in place, and how this covers the work of the consortium.]** | | | |
| 3A.10 | **Environmental Policy**  Do you have an environmental policy statement committing your organisation to a programme of improvement? | | | |
| **Yes/No Response**  **[N.B. If you are successful, you must be in a position to provide evidence (if requested), prior to contract award, and without delay.**  **If you are bidding as a consortium, you will be required to explain which of the members has the certification in place, and how this covers the work of the consortium.]** | | | |
| 3A.11 | **Quality Management Policy**  Do you operate in accordance with a Quality Management System that is certified by a UKAS-accredited (or national equivalent) third party against ISO 9001 or an equivalent standard? | | | |
| **Yes/No Response**  **[N.B. If you are successful, you must be in a position to provide evidence (if requested), prior to contract award, and without delay.** | | | |
| Do you have a documented process designed to ensure that the quality of your products or services is consistent? | | | |
| **Yes/No Response**  **[N.B. If you are successful, you must be in a position to provide evidence (if requested), prior to contract award, and without delay.** | | | |
| If you are bidding on behalf of a consortium please confirm that you have documented processes in place to adequately manage relationships with your members (e.g. any systems used to ensure prompt communication, accountability and swift resolution of disputes). | | | |
| **Yes/No or N/A Response**  **[N.B. If you are successful, you must be in a position to provide evidence (if requested), prior to contract award, and without delay.** | | | |
|  |
|  | | | |
|  |  | | | |
| 3A.12 | **Conflicts of interest: duty to identify**  Are you aware of any conflict of interest within the meaning of section 81 of the Procurement Act?  **[N.B. You must notify the contracting authority of any conflict of interest or potential conflict of interest e.g. if you, or a connected person has advised the contracting authority or otherwise been involved in the preparation of the procurement procedure.]** | | | |
| **Yes/No Response** | | | |
| If your response to the question above is yes, please provide details. | | | |
| **[Insert Information]** | | | |
| 3A.13 | **Blacklisting**  Have you, or any connected person been found to have employed the practice of 'blacklisting' in the last three years? | | | |
| **Yes/No Response** | | | |
| If your response to the above question is yes, please confirm:  a. when the breach occurred  b. if this has been established by a judicial decision having final and binding effect; and  c. the measures you have taken to demonstrate your reliability e.g. self-cleaning. | | | |
| **[Insert Information]** | | | |
| 3A.14 | **Equalities training**  Do all employees (including those from your consortium members where relevant) that come into contact with the public, or with a client’s staff members, receive equalities training? | | | |
| **Yes/No Response** | | | |
| 3A.15 | **Welsh Language ((NOT USED)**  Please confirm that you currently meet, or will meet if you are successful, the requirements of the Welsh language measure. | | | |
| **Yes/No Response**  **[N.B. If you are successful, you must be in a position to provide evidence (if requested), prior to contract award, and without delay.]** | | | |
| 3A.16 | **Project Bank Account**  If you are a prime contractor the contracting authority will require under the contract that you use a Project Bank Account as the primary method of payment to your sub-contractors. Would you be willing to comply with this? | | | |
| **Yes/No Response**  **[N.B. The buyer may only select you to tender if you agree to the use of Project Bank Accounts as the sole method of payment to sub-contractors during the stipulated contractual period.]** | | | |
| 3A.17 | **Apprenticeships**  Please confirm if you will be supporting apprenticeships and skills development through this contract? | | | |
| **Yes/No Response**  **[N.B. If successful you must (if requested) provide documentary evidence to demonstrate your commitment to supporting apprenticeships and skills development.]** | | | |
| 3A.18 | **Supply Chain Support**  Do you have a process in place to ensure that your supply chain supports skills, development and apprenticeships? | | | |
| **Yes/No Response**  **[N.B. If you are successful, you must be in a position to provide evidence (if requested), prior to contract award, and without delay.]** | | | |
|  | **Part 3B – requirements for central government departments, their executive agencies and non-departmental public bodies** | | | |
| 3B.1 | Modern Slavery Act 2015: Requirements under Modern Slavery Act 2015  Is the tenderer a relevant commercial organisation as defined by section 54 ("Transparency in supply chains etc.") of the Modern Slavery Act 2015 ("the Act")? | | | |
| **Yes/No**  ***If the tenderer has answered yes to question 3B.1 is the tenderer compliant with the annual reporting requirements contained within Section 54 of the Act 2015*?**  **(if yes) Please provide the relevant url:**  **(if no) Please provide an explanation:** | | | |
| 3B.2 | **Armed Forces Covenant**  The Armed Forces Covenant (the Covenant) is a public sector pledge from Government, businesses, charities and organisations to demonstrate their support for the armed forces community. The Covenant was brought in under the Armed Forces Act 2011 to recognise that the whole nation has a moral obligation to redress the disadvantages the armed forces community face in comparison to other citizens, and recognise sacrifices made.  The Covenant’s 2 principles are that:   * the armed forces community should not face disadvantages when compared to other citizens in the provision of public and commercial services   • special consideration is appropriate in some cases, especially for those who have given most such as the injured and the bereaved.  The Contracting Authority encourages all tenderers, and their suppliers, to sign the Covenant, declaring their support for the Armed Forces community by displaying the values and behaviours set out therein.  Guidance on the various ways tenderers can demonstrate their support through the Covenant is at <https://www.armedforcescovenant.gov.uk/> | | | |
| [Please provide your response here]  *Section 1: Principles of the Armed Forces Covenant*  *1.1 We Company XYZ will endeavour in our business dealings to uphold the key principles of the*  *Armed Forces Covenant, which are:*  *• no member of the Armed Forces Community should face disadvantage in the provision of public*  *and commercial services compared to any other citizen*  *• in some circumstances special treatment may be appropriate especially for the injured or*  *bereaved.*  *Section 2: Demonstrating our Commitment*  *2.1 (Company XYZ) recognises the value serving personnel, reservists, veterans and military families bring to our business. We (Company XYZ) will seek to uphold the principles of the Armed Forces Covenant, by:*  *• promoting the fact that we are an armed forces-friendly organisation;*  *• seeking to support the employment of veterans young and old and working with the Career*  *Transition Partnership (CTP), in order to establish a tailored employment pathway for Service*  *Leavers;*  *• striving to support the employment of Service spouses and partners;*  *• endeavouring to offer a degree of flexibility in granting leave for Service spouses and partners*  *before, during and after a partner’s deployment;*  *• seeking to support our employees who choose to be members of the Reserve forces, including by*  *accommodating their training and deployment where possible;*  *• offering support to our local cadet units, either in our local community or in local schools, where*  *possible;*  *• aiming to actively participate in Armed Forces Day;*  *• offering a discount to members of the Armed Forces Community;*  *• any additional commitments XYZ could make (based on local circumstances).*  ***• [You are encouraged to sign up to as many of the above as appropriate to your business. Please amend to provide details of how you intend to meet each commitment.]***  *• 2.2 We will publicise these commitments through our literature and/or on our website, setting*  *out how we will seek to honour them and inviting feedback from the Service community and our*  *customers on how we are doing.* ***[Amended as appropriate for your business.]*** | | | |
| Welsh Procurement Policy Note WPPN 01/21: Sourcing steel in major construction and infrastructure projects in Wales | | | | |
| 3B.3 | For contracts which relate to projects/programmes with a value of £3 million or more, please describe the steel specific supply chain management systems, policies, standards and procedures you have in place to ensure robust supply chain management and compliance with relevant legislation. | | | |
| **[Insert Information]**  **[N.B. If you are successful, you must be in a position to provide evidence (if requested), prior to contract award, and without delay.]** | | | |
| Please provide details of previous similar projects where you have demonstrated a high level of competency and effectiveness in managing all supply chain members involved in steel supply or production to ensure a sustainable and resilient supply of steel. | | | |
| **[Insert Information]**  **[N.B. If you are successful, you must be in a position to provide evidence (if requested), prior to contract award, and without delay.]** | | | |
| 3B.4 | **Distorting Competition**  Do you take steps to ensure that members of your supply chain do not make agreements with other economic operators aimed at distorting competition as described in Schedule 7 of the Procurement Act 2023, paragraphs 7-9? | | | |
| **Yes/No Response** | | | |
| If your response to the above question is yes, please provide a brief outline of the steps that you take. | | | |
| **[Insert Information]** | | | |
| 3B.5 | **Supply Chain Management**  Please describe the supply chain management systems, policies, standards and procedures you currently have in place to ensure robust supply chain management. | | | |
| **[Insert Information]** | | | |
|  | | | |
|  | | | |
| 3B.6 | **Health and Safety Policies**  Do you take steps to ensure that all members of your supply chain have in place appropriate health and safety policies dealing with at least the following:  a. Policy Statement - signed and dated  b. the Organisation and Responsibilities - how Health and Safety requirements are implemented; and  c. the Arrangements - standards and procedures adopted in practice, and that this is reviewed at least every 2 years? | | | |
| **[Yes/No Response]**  **[N.B. If you are successful, you must be in a position to provide evidence (if requested), prior to contract award, and without delay.]** | | | |
| If your response to the above question is yes, please provide brief details of the steps that you take. | | | |
| **[Insert Information]**  **[N.B. Response required if you selected "yes" to question 3B.6].** | | | |
| 3B.7 | **Health and Safety Training: Supply Chain**  Do you take steps to ensure that all members of your supply chain provide appropriate health and safety training, particularly for workers carrying out potentially hazardous tasks? | | | |
| **Yes/No Response**  **[N.B. If you are successful, you must be in a position to provide evidence (if requested), prior to contract award, and without delay.]** | | | |
| If your response to the above is yes, please provide brief details of the steps that you take. | | | |
| **[Insert Information]**  **[N.B. Response required if you selected "yes" to question 3B.7].** | | | |
| 3B.8 | **Carbon Reduction - WPPN 06/21** | | | |
| Please confirm that you have detailed your environmental management measures by completing and publishing a Carbon Reduction Plan which meets the required reporting standard, as per the Technical standard for Completion of Carbon Reduction Plans (WPPN 06/21).  [N.B. Your most recently published Carbon Reduction Plan must have been published no longer than 12 months prior to the commencement date of the procurement for which you are bidding.] | | | |
| **Yes/No Response** | | | |
| If your answer to question 3B.8 a is yes, please provide a link to your most recently published Carbon Reduction Plan. | | | |
| **[Insert Information]** | | | |
| Please confirm that your organisation is taking steps to reduce your GHG Emissions over time and is publicly committed to achieving Net Zero by 2050 | | | |
| **Yes/No**  **[N.B. If you are successful, you must be in a position to provide evidence, if required, prior to contract award, and without delay.]** | | | |
|  | **Confirmations** | | | |
| I confirm that:   * to the best of my knowledge the answers submitted and information contained in this document are complete, accurate and not misleading * upon request and without delay I will provide any additional information requested of us * I understand that the response to this questionnaire will be used to assess whether our organisation is entitled to participate in, or continue to participate in, this procurement   I understand that our organisation may be excluded from the procurement if requested information has not been provided, if any of this response or any follow up responses are incomplete, inaccurate or misleading, if confidential information has been accessed or if we have unduly influenced your decision-making in this procurement. | | | | |
| **[Insert Yes or No]** | | | | |
| **Full name:**  **Role:**  **Phone number:**  **Email address:**  **Postal address:** | | | | |

1. Pass/Fail Request to Participate Submission
   * + 1. Please refer to section 4.4-4.49 in Volume 1 – Instructions and Requirements for information on how to complete this section.
       2. Where case studies are requested, tenderers may use the same case study for multiple questions, as long as it answers the question specified.
       3. For each different case study used please include contact details for a referee that can verify the information provided
       4. Case studies must be from the last 5 years
       5. Bidders may attach their case studies as separate documents, as long as they are clearly referenced in the response section.

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| **Request to participate questions** | | **Question Weighting** |
| 1.1 | **Contractors must hold Network Rail Principal Contractor licence (RICCL code A.B.5.7.1) or equivalent**  Please Confirm Yes/No below and provide details  *Max page count: 1 side A4* | Pass/Fail  Pass = Yes  Fail = No |
| [Please provide your response here] | | |
| 1.2. | **Contractors must hold appropriate RISQS audit code for the required works (RICCL codes C.B.1 & C.B.2) or equivalent**  Please Confirm Yes/No below and provide details  *\*This information will be verified on RISQS by TfW*  *Max page count: 1 sides A4* | Pass/Fail  Pass = Yes  Fail = No |
| [Please provide your response here] | | |

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| 1.3 | **Contractors must have demonstrable experience of delivering PL track and multiple S&C units within DWWP red-ranked complex work sites/possessions**  Please provide a Case Study response that demonstrates your ability to meet the requirements set out above  *Max page count: 1 side of A4* | Pass/Fail  (scored out of 10 with 6/10 as the pass mark) |
| [Please provide your response here] | | |
| 1.4 | **Contractors must have demonstrable experience of planning, ordering and managing Railway Engineering Trains and On Track Machines**  Please provide a Case Study response that demonstrates your ability to meet the requirements set out above  *Max page count: 1 side A4* | Pass/Fail  (scored out of 10 with 6/10 as the pass mark) |
| [Please provide your response here] | | |

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| 1.5 | **The contract will require the provision and use of strategic On Track Plant including 2 x Kirow cranes for the April 2027 blockade. The contractor must confirm that they have, or will have in place at the point of contract award in Q1 2026, an agreement to provide the necessary Kirow cranes for the April 2027 blockade works.**  Please Confirm Yes/No below and provide details  *Max page count: 1 side A4* | Pass/Fail  Pass = Yes  Fail = No |
| [Please provide your response here] | | |
| 1.6 | **Contractors must have demonstrable experience of successfully collaborating with signalling (and other contractors) to develop staging strategies for complex remodelling works**  Please provide a Case Study response that demonstrates your ability to meet the requirements set out above  *Max page count: 1 sides A4* | Pass/Fail  (scored out of 10 with 6/10 as the pass mark) |
| [Please provide your response here] | | |

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| 1.7 | **Contractors must have demonstrable experience in delivery of Track Hand Back (NR/L3/TRK/1020) and AMP Process (NR/L2/MTC/089)**  Please provide a Case Study response that demonstrates your ability to meet the requirements set out above  *Max page count: 1 side A4* | Pass/Fail  (scored out of 10 with 6/10 as the pass mark) |
| [Please provide your response here] | | |
| 1.8 | **Contractors must have demonstrable experience as Main Contractor in Entry into Service, in accordance with Network Rail standards:**  **1. Entry into Operational Service (NR/L2/RSE/CP0075, previously NR/L2/INI/CP0075);**  **2. NR PACE Product Guidance (Engineering Milestone Review – P.EG20); and**  **3. Entry into Service Checklist (NR PACE Product P.EG20)**  Please provide a Case Study response that demonstrates your ability to meet the requirements set out above  *Max page count: 1 side A4* | Pass/Fail  (scored out of 10 with 6/10 as the pass mark) |
| [Please provide your response here] | | |

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| 1.9 | **Contractors must have demonstrable experience of construction only contracts, collaborating successfully with designers across all disciplines**  Please provide a Case Study response that demonstrates your ability to meet the requirements set out above  *Max page count: 1 sides A4* | | Pass/Fail  (scored out of 10 with 6/10 as the pass mark) |
| [Please provide your response here] | | | |
| 1.10 | **Demonstrable experience and competence of Temporary Works management for complex staging and remodelling**  Please provide a Case Study response that demonstrates your ability to meet the requirements set out above  *Max page count: 1 side A4* | | Pass/Fail  (scored out of 10 with 6/10 as the pass mark) |
| [Please provide your response here] | | | |
| 1.11 | **Demonstrable experience of effective process control to mitigate risks to historic infrastructure whilst undertaking construction/renewal work**  Please provide a Case Study response that demonstrates your ability to meet the requirements set out above  *Max page count: 1 side A4* | | Pass/Fail  (scored out of 10 with 6/10 as the pass mark) |
| [Please provide your response here] | | | |
|  | |

1. Commercial Response
   * + 1. Commercial responses are not requested at this stage.
2. Appendix A: Form of Tender

To: Transport for Wales

3 Llys Cadwyn

Taff Street

Pontypridd

CF37 4TH

Tender for: **XX**

I/We offer to comply with the terms of the tender documents and should we be successful, to enter into a contract for the provision of the services/works on the basis of:

* Our Procurement Specific Questionnaire (responding to Section 2)
* Quality Submission (responding to Section 3)
* Our Commercial Submission (responding to Section 4)
* Our Mandatory Supporting Information, including:
  + Our completed Declaration of Non-Collusion
  + Our completed Freedom of Information Act 2000 Notice
  + Our completed Declaration of Non-canvassing
  + Our completed Declaration of Conflict of Interest
  + Our completed Declaration of Prompt payment
  + Our insurance details /certification and/or details of our insurances which would be obtained following contract award (including information on how we will obtain this insurance – e.g. a quote)]
  + Our JV or Consortium Agreement *(delete if not applicable)*

I/We undertake in the event of your acceptance of my/our tender to execute with you a formal contract embodying all the conditions and terms contained in this offer.

I/We agree that unless and until a formal agreement is prepared and executed the tender together with Transport for Wales’s acceptance thereof in writing, shall constitute a binding contract between us.

I/We agree that this tender shall remain open for acceptance for a period not less than 90 days.

I/We agree that Transport for Wales does not bind itself to accept the lowest, most advantageous or any other tender.

|  |  |
| --- | --- |
| **Signature:** |  |
| **Print name:** |  |
| **In the capacity of:** |  |
| **For and on behalf of:** |  |
| **of/Registered office address:** |  |
| **Telephone No:** |  |
| **Email address:** |  |
| **Date:** |  |



1. Appendix B: Freedom of Information Act 2000
   * + 1. This notice is to make tenderers aware that the Freedom of Information Act 2000 (the Act) came into force on 1st January 2005.  The Act makes provision for any information which is held by public authorities to be made available to the public upon request.  The Contracting Authority is legally obliged to comply with the provisions of the Act.
       2. Therefore, upon the Contracting Authority receiving a written request from a member of the public to release information in its possession, the Contracting Authority must comply unless it is able to apply one of the limited exemptions available under the Act to such a request.
       3. Such a request could relate to a tenderer’s tender.  The Contracting Authority may therefore have a statutory duty to release all or part of the contents of a tender submission to a third party.  Should tenderers have any concerns or require any further information about the workings of the Act, it would be advisable that they seek independent legal advice.
       4. Tenderers are required to complete the section below and return this form with their tender submission.
       5. I/We, the undersigned, hereby confirm that I/We acknowledge and accept the provisions of the Freedom of Information Act 2000 and how they may apply to my/our tender submission.

|  |  |
| --- | --- |
| **Signature:** |  |
| **Print name:** |  |
| **In the capacity of:** |  |
| **For and on behalf of:** |  |
| **Date:** |  |

1. Appendix C: Declaration of Non-Collusion

To: Transport for Wales

3 Llys Cadwyn

Taff Street

Pontypridd

CF37 4TH

Tender for: Complex track works

* + - 1. I/We certify that this tender is made in good faith and that we have not fixed or adjusted the amount of the tender by or under or in accordance with any agreement or arrangement with any other person.  We also certify that we have not, and we undertake that we will not before the award of any contract for the work:

communicate to any person other than the Contracting Authority or a person duly authorised by the Contracting Authority the amount or approximate amount of the tender or proposed tender, except where the disclosure, in confidence, of the approximate amount of the tender was necessary to obtain insurance premium quotations required for the preparation of the tender;

enter into any agreement or arrangement with any person that he shall refrain from tendering or as to the amount of any tender to be submitted.

pay, give or offer or agree to pay or give any sum of money or other valuable consideration directly or indirectly to any person for doing or having done or causing or having caused to be done in relation to any other tender or proposed tender for the work, any act or thing of the sort described at 17.1 (a) or (b).

* + - 1. I/We also certify that the undertaking described in paragraph 17.1 above has been, or will be, brought to the attention of all sub-Consultants, suppliers and associated companies providing services or materials connected with the tender and any contract entered into with such sub-Consultants, suppliers or associated companies will be made on the basis of compliance with the above undertaking by all parties.
      2. In this certificate, words and expressions shall have the same meanings as are respectively assigned to them in the ITT.  The word “person” includes any persons and anybody or association, corporate or un-incorporate; “any agreement or arrangement” includes any transaction, formal or informal and whether legally binding or not; and “the works” means the work in relation to which the tender is made.

|  |  |
| --- | --- |
| **Signature:** |  |
| **Print name:** |  |
| **In the capacity of:** |  |
| **For and on behalf of:** |  |
| **of/Registered office address:** |  |
| **Telephone No:** |  |
| **Email address:** |  |
| **Date:** |  |

1. Appendix D: Declaration of Non Canvassing Certificate

To: Transport for Wales

3 Llys Cadwyn

Taff Street

Pontypridd

CF37 4TH

Tender for: Complex track works

We \*................................................................................................................................

hereby undertake that in respect of the Tender for **Complex track works** contract that we have not canvassed or solicited nor will in the future canvass or solicit any of the Welsh Ministers, the Contracting Authority or any officer or employee thereof in connection with the award of this contract and that the persons employed by us acting on our behalf have not nor will not do any such act.

In this certificate the word "person" includes any person and any body or association, corporate or unincorporated and "agreement" or "arrangement" include any such transactions, whether formal or informal, legally binding or not.

|  |  |
| --- | --- |
| **Signature:** |  |
| **Print name:** |  |
| **In the capacity of:** |  |
| **For and on behalf of:** |  |
| **of/Registered office address:** |  |
| **Telephone No:** |  |
| **Email address:** |  |
| **Date:** |  |

1. Appendix E: Declaration of Non Conflict of Interest Certificate

To: Transport for Wales

3 Llys Cadwyn

Taff Street

Pontypridd

CF37 4TH

Tender for: **Complex track works**

We certify that this tender is made in good faith and that any work that [insert name of tenderer] or its proposed sub-consultants are currently undertaking or shall undertake in the future in accordance with any agreement, or arrangement with any person does not and shall not raise a conflict of interest in respect of the performance of any part of the contract.

We also certify that appropriate controls and procedures shall be in place throughout the term of the contract to ensure that no conflict of interest can arise.

We further certify that the terms of the contract shall be complied with in all respects during its term.

In this certificate, the word **person** includes all persons and any body or association, corporate or unincorporated; **any agreement or arrangement** includes any transaction, formal or informal and whether legally binding or not; and the "the work" means the work in relation to which this tender is made.

|  |  |
| --- | --- |
| **Signature:** |  |
| **Print name:** |  |
| **In the capacity of:** |  |
| **For and on behalf of:** |  |
| **of/Registered office address:** |  |
| **Telephone No:** |  |
| **Email address:** |  |
| **Date:** |  |

**Note 1 Supplementary Information:** the tenderer shall provide with this certificate a complete list of all current contractual arrangements, including direct and sub- contracting arrangements, that the tenderer has with organisations carrying out services for the Contracting Authority together with the remuneration attributable to each of these contractual arrangements.

1. Appendix H: Declaration of Prompt Payment Certificate

To: Transport for Wales

3 Llys Cadwyn

Taff Street

Pontypridd

CF37 4TH

Tender for: **Complex track works**

Having examined the provisions of the contract and relevant legislation designed to ensure the prompt payment of sub-consultants we certify that any sub-contract for services entered into by the tenderer in relation to the contract shall provide a clause for timely payment of the sub-consultant confirming that all payments to be made to the sub-consultant shall be paid within a specified period not exceeding 30 days from receipt of a valid invoice as defined by the sub-contract requirements.

We understand that failure by us to comply with the earlier provisions of this certificate shall be a breach of the provisions of the contract for **Complex track works**

|  |  |
| --- | --- |
| **Signature:** |  |
| **Print name:** |  |
| **In the capacity of:** |  |
| **For and on behalf of:** |  |
| **of/Registered office address:** |  |
| **Telephone No:** |  |
| **Email address:** |  |
| **Date:** |  |